Initial Performance Summary
Office of Government Ethics

Framework	CIO Rating	IG Rating
Identify	Managing Risk	Managed and Measurable
Protect	Managing Risk	Consistently Implemented
Detect	Managing Risk	Managed and Measurable
Respond		Managed and Measurable
Recover	At Risk	Consistently Implemented
Overall	Managing Risk	

Incidents by Attack Vector	FY18	FY19	FY20
Attrition	0	0	0
E-mail	0	0	0
External/Removable Media	0	0	0
Impersonation	0	0	0
Improper Usage	0	0	0
Loss or Theft of Equipment	0	0	0
Web	0	0	0
Other	0	0	0
Multiple Attack Vectors	0	0	0
Total	**0**	**0**	**0**

CIO Self-Assessment

In FY 2020, OGE engaged assessors from the Enterprise Services Center, Information Security Assessment Group, Federal Aviation Administration, to conduct an independent assessment of the OGE Network using FY 2020 FISMA Chief Information Officer (CIO) metrics. Twenty-six (26) findings were identified by the assessor, including fifteen (15) moderate findings and eleven (11) low findings. Ten (10) of findings are covered by signed risk acceptances. Five (5) of the existing risk acceptances were "partially met." For those weaknesses, the assessor downgraded the residual risk from "Moderate" to "Low." Consequently, the OGE CIO will write ten (10) Plan of Action and Milestones (POAMs) to address outstanding moderate findings. (This represents an 80% decrease in the number of POAMs compared to the previous assessment.) Each finding will be documented, assigned an ID, and monitored until mitigated or accepted by the Authorizing Official (AO). Each POAM will be signed by the CIO and the AO to indicate either closure or risk acceptance.

Also in FY 2020, OGE engaged assessors from the Enterprise Services Center to conduct an independent assessment of its information security program using FY 2020 FISMA Inspector General (IG) reporting metrics. The purpose of this audit was to determine the effectiveness of the agency's information security program and practices. This was OGE's second annual audit against these requirements. FY 2019's audit created a solid baseline from which OGE was able to work. FY2020's audit results showed marked improvement, even in the face of challenges placed upon OGE by the COVID-19 pandemic. For purposes of the Audit, the Department of Homeland Security defined five (5) levels of maturity. The high-level result of OGE's FY 2020 IG FISMA Metrics Audit was "Managing Risk" in four out of five levels of maturity, yielding an overall rating of "Managing Risk."

Independent Assessment

The independent auditor found the Office of Government Ethics' (OGE) information security program to be effective. As a micro agency, OGE has a small IT footprint. OGE's systems are actively managed by a small, skilled Federal staff, dedicated to maintaining the confidentiality, integrity and availability of their systems. OGE still has opportunities for further improvement in each of the Domains; however, OGE personnel made significant progress during FY20 following FY19's IG FISMA Metrics Audit.